

## UNITED STATES DEPARTMENT OF COMMERCE

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 APPLICATION NO.
 FILING DATE
 FIRST NAMED INVENTOR
 ATTORNEY DOCKET NO.

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EXAMINER		
UH, E.		
ART UNIT	PAPER NUMBER	

DATE MAILED:

01/05/99

Please find below and/or attached an Office communication concerning this application or proceeding.

**Commissioner of Patents and Trademarks** 

Office	Action	Summary	1
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Application No. Applicant(s)

09/002,178

Group Art Unit

Bohr

Examiner 2822 Edwin Oh

☐ Responsive to communication(s) filed on	
☐ This action is <b>FINAL</b> .	
Since this application is in condition for allowance except for formal in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 1	
A shortened statutory period for response to this action is set to expire is longer, from the mailing date of this communication. Failure to response application to become abandoned. (35 U.S.C. § 133). Extensions of time 37 CFR 1.136(a).	and within the period for response will cause the
Disposition of Claims	
X Claim(s) 1-30	is/are pending in the application.
Of the above, claim(s)	is/are withdrawn from consideration.
Claim(s)	
☐ Claim(s)	is/are rejected.
☐ Claim(s)	is/are objected to.
<ul> <li>☐ The drawing(s) filed on</li></ul>	Is approved disapproved.  B5 U.S.C. § 119(a)-(d).  Biority documents have been  Stional Bureau (PCT Rule 17.2(a)).
Attachment(s)  Notice of References Cited, PTO-892 Information Disclosure Statement(s), PTO-1449, Paper No(s). Interview Summary, PTO-413 Notice of Draftsperson's Patent Drawing Review, PTO-948 Notice of Informal Patent Application, PTO-152	•
SEE OFFICE ACTION ON THE FOL	LOWING PAGES

Serial Number: 09/002178 Art Unit 2822

Restriction Requirement

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Claims 1-30 are pending in this application.

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Restriction to one of the following inventions is required under 35 U.S.C. § 121:

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I. Claims 21-30, drawn to a semiconductor device, classified in Class 257, subclass 701.

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II. Claims 1-20, drawn to a process of making a semiconductor device apparatus, classified in Class 438, subclass 782.

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The inventions are distinct, each from the other because of the following reasons:

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Inventions II and I are related as process of making and product made. The inventions are distinct if either or both of the following can be shown: (1) that the process as claimed can be used to make other and materially different products or (2) that the product as claimed can be made by another and materially different process (MPEP § 806.05(f)). In the instant case, unpatentability of the group I invention would not necessarily imply unpatentability of the method of the group II invention, since the device of the group I invention could be made by processes materially different than that of the group II invention, for example, in claim 6, growing a conducting barrier layer on the sides of the opening and on the top surface of the bond pad. Note specifically In re Thorpe et al. as detailed below:

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Even though product - by process claims are limited by and defined by the process, determination of patentability is based on the product itself. The patentability of a product does not depend on its method of production. If the product in the product - by - process claim is the same as or obvious from a product of the prior art, the claim is unpatentable even though the prior product was made by a different process. In re Thorpe, 227 USPQ 964, 966 (Fed. Cir. 1985) (citations omitted)

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Because these inventions are distinct for the reasons given above and, as shown by the above different classifications, the fields of search are not co-extensive and separate examination would be required, restriction for examination purposes as indicated is proper.

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Applicant is advised that the response to this requirement to be complete must include an election of the invention to be examined even though the requirement be traversed (37 C.F.R. 1.143).

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Art Unit 2822

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Paper # 4

## Restriction Requirement

Applicant is reminded that upon the cancellation of claims to a non-elected invention, the inventorship must be amended in compliance with 37 C.F.R. 1.48(b) if one or more of the currently named inventors is no longer an inventor of at least one claim remaining in the application. Any amendment of inventorship must be accompanied by a diligently-filed petition under 37 C.F.R. 1.48(b) and by the fee required under 37 C.F.R. 1.17(h).

Papers related to this application may be submitted directly to Art Unit 2822 by facsimile transmission. Papers should be faxed to Art Unit 2822 via the Technology Center 2800 Fax Center located in Crystal Plaza 4, room 4 - C23. The faxing of such papers must conform with the notice published in the Official Gazette, 1096 OG 30 (November 15, 1989). The Technology Center 2800 Fax Center numbers are (703) 308-7722 and (703) 308-7724. The Technology Center 2800 Fax Center is to be used only for papers related to Technology Center 2800 applications.

Any inquiry concerning this communication should be directed to Examiner Edwin Oh whose telephone number is (703) 306-9144. The examiner can normally be reached on Monday through Friday from 8:30 to 6:00. If attempts to reach the examiner by telephone are unsuccessful the examiner's supervisor Peter Toby Brown can be reached at (703) 308-4083. The fax phone number for this Group is (703) 308-7722. Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the Group receptionist whose telephone number is (703) 308-0956.

January 4, 1999

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Peter Toby Brown
Supervisory Patent Examiner
Technology Center 2800